




Audit Subject	Status August 2017	Opinion	Comments
<b><u>AUDITS SCHEDULED TO COMMENCE IN 2016/17</u></b>			
<b><u>2016/17 Internal Audit Plan</u></b>			
<b><u>Assurance Work – Key Systems</u></b>			
Business Rates	Completed	Substantial Assurance	Reported June 2017
Corporate and Ethical Governance	Completed	Adequate Assurance	Reported June 2017
<b><u>Corporate Procurement Review</u></b>			
<b>Ordering Compliance</b>	<b>Completed</b>	<b>Not Stated</b>	<b>See body of report for explanation</b>
<b><u>Departmental Procurement</u></b>			
<b>Public Realm Procurement</b>	<b>Completed</b>	<b>Not Stated</b>	<b>See body of report for explanation</b>
Housing Benefit	Completed	Substantial Assurance	Reported June 2017
Payments Received	Completed	Adequate Assurance	Reported June 2017
Sundry Debtors	Completed	Improvement Required	Reported June 2017
<b><u>Assurance Work – Other Systems</u></b>			
<b><u>Building Control</u></b>			
Grants / Financial Assistance	Completed	Adequate Assurance	Reported June 2017
Housing Repairs and Maintenance	Completed	Adequate Assurance	Reported June 2017
Planning Policy	Unallocated		
Risk Management	Completed	Improvement Required	Reported June 2017
<b><u>Assurance Work – Computer Audit</u></b>			
I T Governance	Completed	Substantial Assurance	Reported June 2017
I T Project Management	Draft Report		
Uniform Application Review	Completed	Improvement Required	Reported June 2017



Audit Subject	Status August 2017	Opinion	Comments
<b><u>AUDITS SCHEDULED TO COMMENCE IN 2017/18</u></b>			
<b><u>2017/18 Internal Audit Plan</u></b>			
<b><u>Assurance Work – Key Systems</u></b>			
Banking	Unallocated		
Business Rates	Unallocated		
Corporate and Ethical Governance	Unallocated		
Council Tax	Allocated		
Creditors	Allocated		
Departmental Procurement	Unallocated		
Procurement – Order Processes	Allocated		
Housing Benefit	Unallocated		
Housing Rents	Unallocated		
Main Accounting System	Unallocated		
Payments Received	Unallocated		
Payroll	Allocated		
Sundry Debtors	Unallocated		
Treasury Management	Allocated		
<b><u>Assurance Work – Emerging Key Projects</u></b>			
Audits to be arranged	Unallocated		
<b><u>Assurance Work – Other Systems</u></b>			
Asset Management	Allocated		
Coast Protection	Allocated		
Corporate Counter Fraud	Unallocated		

**Tendring District Council Internal Audit Plan**  
(Position at August 2017)

**Appendix A**

<b>Audit Subject</b>	<b>Status August 2017</b>	<b>Opinion</b>	<b>Comments</b>
Departmental Governance			
Operational Services	Fieldwork		
Departmental Staff Allowances and Time			
<b>Chief Executive and Management Support</b>	<b>Completed</b>	<b>Adequate Assurance</b>	
Planning and Regeneration	Fieldwork		
Engineering Services	Fieldwork		
Health and Safety	Unallocated		
Housing Repair and Maintenance			
Kitchen and Bathroom Replacement Contract	Fieldwork		
Heating Refurbishment Contract	Unallocated		
<b>Insurance</b>	<b>Completed</b>	<b>Substantial Assurance</b>	
Licensing	Unallocated		
<b>Member Support</b>	<b>Completed</b>	<b>Adequate Assurance</b>	
Parking Services	Unallocated		
Princes Theatre and Essex Hall	Fieldwork		
Regeneration and Inward Investment	Unallocated		
Risk Management	Unallocated		
S106	Unallocated		
Walton – on – the – Naze Lifestyles	Fieldwork		
<b><u>Assurance Work – Computer Audit</u></b>			
Cash Receipting Application Review	Allocated		
Human Resources / Payroll Application Review	Allocated		
IT Governance	Unallocated		
IT Infrastructure	Allocated		
IT Support	Unallocated		

**Status Key**

**Unallocated**

Audit in Audit Plan, but no work undertaken yet

**Allocated**

Audit is being scoped / has been scoped and awaiting commencement

**Fieldwork**

Audit in progress

**Draft Report**

Audit fieldwork complete, but Final Report not yet issued

**Completed**

Final Report issued and audit results reported to Audit Committee

**Deferred**

Audit was in Audit Plan, but will now be undertaken in a later year. Deferred audits agreed by Audit Committee

**Delayed**

Valid request from function being audited for audit to be undertaken later than proposed